Code of Good Practice

Chapter 4: Seawater Lochs
# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMENDMENT CONTROL</td>
<td>4</td>
</tr>
<tr>
<td>1. DOCUMENTS AND TRAINING</td>
<td>5</td>
</tr>
<tr>
<td>➢ Documentation Control</td>
<td>5</td>
</tr>
<tr>
<td>➢ Training</td>
<td>5</td>
</tr>
<tr>
<td>2. FOOD SAFETY AND CONSUMER ASSURANCE</td>
<td>6</td>
</tr>
<tr>
<td>➢ Use of licensed and approved treatments</td>
<td>6</td>
</tr>
<tr>
<td>➢ Traceability to farm and enclosure of origin</td>
<td>6</td>
</tr>
<tr>
<td>3. FISH HEALTH AND BIOSECURITY</td>
<td>7</td>
</tr>
<tr>
<td>➢ Key principles of fish health and biosecurity management</td>
<td>7</td>
</tr>
<tr>
<td>➢ New production farms – proximity to existing broodstock sites</td>
<td>8</td>
</tr>
<tr>
<td>➢ Importation of live marine finfish</td>
<td>8</td>
</tr>
<tr>
<td>➢ Importation of live salmonids</td>
<td>10</td>
</tr>
<tr>
<td>➢ Site disinfection</td>
<td>11</td>
</tr>
<tr>
<td>➢ Ongrowing in seawater lochs</td>
<td>11</td>
</tr>
<tr>
<td>➢ Transportation of live fish by wellboats and other vessels</td>
<td>12</td>
</tr>
<tr>
<td>➢ Sea Lice</td>
<td>16</td>
</tr>
<tr>
<td>➢ Area management</td>
<td>19</td>
</tr>
<tr>
<td>➢ Site fallowing</td>
<td>21</td>
</tr>
<tr>
<td>➢ Farm management area fallowing</td>
<td>22</td>
</tr>
</tbody>
</table>
4. MANAGING AND PROTECTING THE ENVIRONMENT ........... 31

- Fuel oil handling and storage ................................................. 31
- Redundant equipment and waste material ................................ 32
- Noise ..................................................................................... 32
- Lights ..................................................................................... 32
- Odours .................................................................................... 32
- Containment ......................................................................... 33

5. FISH WELFARE AND CARE ............................................. 37

- Veterinary Health Plan and Biosecurity Plan ......................... 37
- Fish farm locations .................................................................. 37
- Design and planning of farms, equipment and systems .......... 37
- Light ...................................................................................... 39
- Inspection and testing .............................................................. 39
- Predator control .................................................................... 40
- Stockmanship and husbandry ............................................... 42
- Handling live or conscious fish .............................................. 42
- Crowding .............................................................................. 43
- Removal and disposal of dead and moribund fish ................. 44
- Marking ................................................................................ 44
- Withholding feed .................................................................. 44
- Stocking density .................................................................... 45
- Grading ................................................................................ 45
- Transport of live fish .............................................................. 46
- Harvesting and culling .............................................................. 47
6. FEED AND FEEDING ................................................................. 47

➢ Feed formulation ................................................................................ 47
➢ Feed delivery ...................................................................................... 47
➢ Use of feed .......................................................................................... 48

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CHAPTER 3 – Seawater Lochs
February 2015

3
AMENDMENT CONTROL: SEAWATER LOCHS

The CoGP will be reviewed and updated, as necessary, to ensure it continues to take account of current developments in technology and best practice.

All companies participating in the Code will be advised of changes as they occur. The version of the Code accessible on www.thecodeofgoodpractice.co.uk is current and includes all material updates to the Seawater Lochs chapter as listed below.

<table>
<thead>
<tr>
<th>Amendment date</th>
<th>Section No/Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>30 Jan 2015</td>
<td>3.5/Mortality notification</td>
</tr>
<tr>
<td></td>
<td>3.40 – 3.45/Wellboats</td>
</tr>
<tr>
<td></td>
<td>3.116 – 3.127/Cleaner fish</td>
</tr>
<tr>
<td></td>
<td>3.140 – 3.161/Vaccination</td>
</tr>
</tbody>
</table>
CHAPTER 4: SEAWATER LOCHS

Many aspects of farming fish in seawater lochs are regulated under European, UK and Scottish law. The undernoted provisions are additional to legal requirements.

1 DOCUMENTS AND TRAINING

Documentation control

1.1 Documents, records and other information relevant to the management of finfish farming operations in sea water lochs should be held and effectively controlled.

1.2 All documents should be the current version and be properly authorised.

1.3 All documents should be clearly written, contain sufficient detail for the purpose and be readily accessible to the relevant personnel.

1.4 Reasons for amendments to, and replacement of, documents should be recorded.

1.5 Other documents should be retained for an appropriate time and be available for inspection.

Training

1.6 Documented evidence of training of individuals in activities relevant to the farming of fish in seawater lochs should be maintained.
2 FOOD SAFETY AND CONSUMER ASSURANCE

Use of Licensed and Approved Treatments

2.1 Veterinary medicines should be used prudently under the conditions set out in the data sheet and/or as advised by the veterinary surgeon.

2.2 Details of treatments used should be covered in Written Control Procedures which should describe:

2.2.1 The involvement of the nominated veterinary surgeon;
2.2.2 The justification for the use of treatment;
2.2.3 The nature of the treatment used;
2.2.4 The circumstances under which fish are treated;
2.2.5 Official controls on the use of the treatment including, as appropriate, Controlled Activity Regulations (CAR) licence conditions;
2.2.6 Measures in place to prevent unacceptable residues remaining in the edible tissues when fish enter the human food chain.

Traceability to Farm and Enclosure of Origin

2.3 Farmers should identify the suppliers of eggs, fish, etc. going into their production processes.

2.4 Traceability should be maintained throughout production processes.

2.5 Businesses to which products have been supplied should be identified.

2.6 Traceability records should be maintained and such information made available to the competent authorities on demand.
3 FISH HEALTH AND BIOSECURITY

Key Principles of Fish Health and Biosecurity Management

3.1 Companies should have a Veterinary Health Plan (VHP) and Biosecurity Plan (BP) covering relevant aspects set out in Annex 2.

3.2 VHPs and BPs should be reviewed at the end of each production cycle.

3.3 All staff engaged in the production of fish should be familiar with the relevant aspects of the VHP and BP.

3.4 Companies should have written procedures to ensure that production staff notify company management immediately when disease is suspected, where abnormal behaviour is evident, or where morbidity or mortality levels are unusually high or subject to rapid increase.

3.5 Where the level of fish mortality exceeds the threshold(s) below, as agreed by the Fish Health Working Group, this should be notified to Marine Scotland's Fish Health Inspectorate and the veterinary surgeon who has the fish under his/her care.

<table>
<thead>
<tr>
<th>Site Ave. Weight (g)</th>
<th>Max. weekly mortality (%)</th>
<th>Max. 5-week rolling mortality (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Under 750</td>
<td>1.5</td>
<td>6</td>
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<tr>
<td>750+</td>
<td>1.0</td>
<td>4</td>
</tr>
</tbody>
</table>

3.6 Dead fish should be removed from the fish holding enclosure as soon as possible.

3.7 At all stages, the number of dead fish should be recorded, along with, where possible, a record of the cause of death.
3.8 Subject to safe operating conditions, in the event of a disease outbreak, dead fish should be removed from pens daily.

Good hygiene practice includes within its scope the conduct and hygiene of persons concerned, their personal clothing, personal protective equipment and other equipment used by them on site.

3.9 Fish farm personnel and visitors to fish farms should be made aware of the role they play in minimising the risk of disease transmission, following good hygiene practice and procedures.

3.10 Risk assessments should be conducted by trained personnel experienced in the appropriate methodology (Annex 3).

3.11 The outcome of risk assessments should be communicated to the relevant production personnel and other personnel responsible for implementation of the outcomes.

3.12 Farmers should reduce any risk to fish health associated with the presence of wild birds, mammalian predators and vermin by ensuring the secure storage of feed, good feeding practice and the secure temporary storage of dead fish.

**New Production Farms - proximity to existing broodstock sites**

3.13 All new production farms should be located at least two tidal excursions from existing broodstock sites.

**Importation of Live Marine Finfish**

The importation of live marine fish is the subject of European and UK legislation. The undernoted provisions are additional to legal requirements.
3.14 Companies proposing to import live marine finfish from outwith the UK should visit the sites on which the fish are being produced and audit the procedures in operation to satisfy themselves that good practice and appropriate risk management are being followed.

3.15 Proposals to import live marine finfish should be underpinned by documented risk assessment (Annex 3).

3.16 Importation should only take place if the outcome of the risk assessment is satisfactory.

3.17 Farmers should obtain, and hold on record, appropriate certification to demonstrate that any live marine fish to be imported are free from pathogens relevant to the species concerned and to other susceptible species.

3.18 All imported marine finfish should be held in quarantine in a land-based site with appropriate effluent disinfection where their health should be monitored for a period not less than 3 months.

\[\text{It should be noted that some effluent disinfection measures may require a CAR consent.}\]

3.19 While imported marine finfish are being held in quarantine, testing for listed, notifiable and other potentially serious diseases should be carried out on all dead fish and any fish showing signs of morbidity.

3.20 After 3 months, quarantine conditions should only be relaxed if the results of tests carried out are negative.

3.21 All imported marine finfish should be certified as only having been treated with medicines that are licensed in accordance with European legislation.
**Importation of Live Salmonids**

The importation of live salmonids is the subject of European and UK legislation. The undernoted provisions are additional to legal requirements.

### 3.22
Companies proposing to import live salmonids from overseas should visit the sites on which the fish are being produced and audit the procedures in operation to satisfy themselves that good practice is being followed.

### 3.23
Proposals to import live salmonids should be underpinned by documented risk assessments.

### 3.24
A decision to import should only be taken if the outcome of the risk assessment is satisfactory.

### 3.25
Risk assessments should take into account the potential to introduce *Gyrodactylus salaris*.

### 3.26
Farmers should hold on record, appropriate certification to demonstrate that any salmonids to be imported are free from pathogens.

### 3.27
Farmers should hold records to demonstrate that salmonids to be imported have been vaccinated, where this is appropriate.

### 3.28
Live salmonids imported from approved zones or compartments in countries of lower health status, as defined by the World Organisation for Animal Health (OIE, [www.oie.int](http://www.oie.int)), including Norway and third countries, should be held in quarantine in secure land-based facilities with appropriate effluent disinfection, for a period of no less than 3 months, during which time their health should be monitored.
3.29 During the time that such imported salmonids are being held in quarantine, testing for listed, notifiable and other potentially serious diseases should be carried out on all dead fish and any fish showing signs of morbidity.

3.30 Quarantine conditions should only be lifted and fish positively released where test results are negative.

3.31 Where fish cannot be positively released, measures to deal with the fish should be in accordance with OIE guidance.

3.32 Following importation, movements of imported live salmonids should only take place on the basis of a satisfactory outcome from a documented risk assessment (Annex 3).

**Site Disinfection**

3.33 Pens should be cleaned and disinfected when empty, along with associated equipment.

3.34 Disinfection should be conducted to a level sufficient to inactivate pathogens considered to pose significant risk (Annex 4).

**Ongrowing in Seawater Lochs**

3.35 It is recommended that pen sites should contain only one commercial species. An exception to this general recommendation is the cohabiting of salmon with cleaner fish such as wrasse and lumpsuckers.

3.36 As far as is reasonably practicable in marine FMAs, personnel, equipment and personal protective equipment should be site specific.

3.37 Where movement between marine FMAs is unavoidable, cleaning and disinfection should, as far as is reasonably practicable, be in accordance with the Standard Disinfection Protocols (Annex 4).
3.38 Helicopter buckets, vehicles and road transport equipment used to transfer fish to marine ongrowing areas should be disinfected, as required, in accordance with recommended practice (see Annex 4).

3.39 Documented evidence of disinfection should be obtained from those responsible for transportation.

**Transportation of Fish by Wellboats and Other Vessels.**

*Tracking the movement and valve status of wellboats and other vessels moving fish*

3.40 As of 1st January 2015, all wellboats engaged in moving live and dead fish should have the capacity to log and record their position.

3.41 As of 1st January 2015, all wellboats engaged in moving live and dead fish should have the capacity to log and record the status of their valves.

3.42 Positional information and information on valve status should be available in real time and retrospectively.

*Discharge of water from wellboats and other vessels moving fish*

3.43 As of 1st January 2015, all water arising from the dead-haul of fish to processing plants should be treated on-shore.

3.44 Provision should be made either for the disinfection of water used to transport live fish destined for harvesting, or the safe disposal of the water at sea (i.e. either at the site where it was extracted, or a minimum of two tidal excursions from any other site stocked with fish).

3.45 It is recommended that all water remaining after the transport of live fish to a slaughter and processing plant be filtered prior to discharge to the sea.
Filtration may take place on-shore or onboard the vessel.

3.46 Wellboats should be operated and audited in accordance with the provisions of Annex 5.

3.47 Evidence of disinfection appropriate to the type of operation for which the wellboat is used should be obtained from the company operating the wellboat and held on record (Annex 5).

3.48 Wellboats should be designed and constructed so as not to cause contamination of fish contained within them.

3.49 Surfaces coming into contact with the fish should be made of corrosion-resistant material that is smooth and easy to clean and disinfect.

3.50 Surface coatings should be non-toxic

Stocking with Smolts

3.51 Decisions to stock marine pens with smolts should be based on a satisfactory outcome from a documented risk assessment.

3.52 During wellboat transport, all efforts should be made to ensure factors likely to stress fish are minimised.

3.53 Smolts should be in good physical condition when transferred into pens.

3.54 The number of different sources of smolts used to stock marine pens should be kept to a minimum.
Movements within FMAs

3.55 Where more than one company occupies a Farm Management Area (FMA) and a single year class of fish is stocked within it, movements within the area should be subject to agreement between the companies occupying the area.

3.56 There is an increased level of risk in FMAs where more than one company operates and/or more than one year class is present and/or different finfish species are being farmed. In such cases, all movements within the FMA should be subject to a satisfactory outcome from a documented risk assessment and the agreement of all the companies operating within that area.

3.57 Fish should receive a general health check on the day of loading into a wellboat and be in good health when loaded.

3.58 During wellboat transport, all efforts should be made to ensure factors likely to stress fish are minimised.

3.59 Fish should be in good physical condition when transferred into pens.

3.60 The number of different sources of fish used to stock marine pens should be kept to a minimum.

Movements between FMAs

3.61 All activities in which wellboats are used to transport fish between FMAs should be subject to documented risk assessment.

3.62 Risk assessments should take account of the type of activity and type of vessel (particularly whether it is open or closed valve) and the degree of risk associated with it, particularly where the intention is that:
• a wellboat will deliver fish to one or more new or fallow sites in one FMA, and will then go on to deliver fish to a site or sites in another FMA;
• a wellboat will deliver fish to a site already containing fish, and will then go on to deliver fish to a site or sites in another FMA;
• a wellboat will collect fish from a site or sites within one FMA, and then go on to collect fish from a site or sites within another FMA.

3.63 Fish should only be moved between FMAs when the outcome from the documented risk assessment is satisfactory.

3.64 Where the outcome of a documented risk assessment is satisfactory, fish movements between FMAs should take place with the written agreement of other finfish farmers within the FMA into which the fish are to be moved.

3.65 Where the same farming company is the sole operator in two different FMAs, it is acceptable for this company to move fish from one area to another fallow area provided an appropriate risk assessment has been completed.

3.66 Fish should receive a general health check on the day of loading into a wellboat and be in good health when loaded.

3.67 During wellboat transport, all efforts should be made to ensure factors likely to stress fish are minimised.

3.68 Fish should be in good physical condition when transferred into pens.

3.69 The number of different sources of fish used to stock marine pens should be kept to a minimum.

3.70 Movements from more than one farm management area into a single management area should only occur for broodstock or harvesting purposes, (Chapter 1).
Harvest

3.71 Live fish should not be moved into holding pens situated adjacent to a processing plant or harvest station. It is acceptable to hold fish in tanks where the effluent from the tanks is disinfected.

3.72 The use of transport pens for moving fish from farm to harvest station should be limited to the transport of fish through safe water over short distances and within a single FMA.

3.73 Fish should not be transported from a harvest station to a production site.

Processing

3.74 If any fish processing operations take place on board a wellboat these should also be in accordance with relevant provisions of the VHP and BP.

Sea Lice

It is a legal requirement to maintain specific records in relation to sea lice. The record-keeping requirement set out in law is based upon the provisions of this CoGP.

Farmers should follow “A National Treatment Strategy for the Control of Sea Lice on Scottish Salmon Farms” (NTS). The standards associated with this are set out below and the strategy is presented in full in Annex 6.

3.75 Each company operating within each area should nominate a person to act as the point of contact;

3.76 Each company operating within each area should provide a written undertaking that their farm(s) will observe the provisions of the NTS.
3.77 Each company operating within each area should form an area group, including appropriate veterinary involvement, whose role is to a) agree the basis for monitoring lice on farms, based on the guidance set out below; b) agree the basis for treatments carried out in cooperation with participating farms; and c) oversee and coordinate monitoring and treatment.

The undernoted sets out a suggested monitoring protocol based on scientific and statistical advice (Sampling Strategies for Estimating Sea Lice Levels on Farmed Atlantic Salmon: Fryer, Revie & Gettinby, personal communication).

Each company must count lice numbers on the fish held on its farm(s). NB although originally designed as a requirement of the Code, this is now a legal requirement.

i) Pens and fish should be sampled at random.

ii) Personnel carrying out lice counts should have appropriate training in lice recognition and recording, and demonstrate post-training competence.

iii) Where there are more than five pens per site, five fish should be sampled from each of five pens to give a total of 25 fish.

iv) Where a site contains less than five pens all pens should be sampled to give a total of 25 fish. A similar number of fish should be selected from each pen.

v) Fish should be netted from the cage and put straight into the anaesthetic.

vi) Each life cycle stage of Lepeophtheirus salmonis should be counted in turn, i.e. adult females, mobiles, chalimus. All identifiable stages of Caligus elongatus should be grouped together.

vii) After completing the lice counts on the fish from each pen, the tub containing the anaesthetic should be examined for sea lice which may have been shed from the fish and any lice found should be added to the total.

viii) The name of the person carrying out the counts, the date, the pen number and the water temperature at a depth appropriate to the depth of the pens used on the site should be recorded.

ix) Minimum recording requirements during sea lice counts are L. salmonis chalimus, mobiles and adult females (with or without egg strings) plus all identifiable stages of C. elongatus grouped together.
Alternative defined and recorded sampling regimes are acceptable provided that they i) produce reproducible estimates of lice numbers on fish held on the farms; and ii) that the results are periodically benchmarked against data gathered using the suggested protocol set out above.

3.78 Weekly monitoring results should be communicated to other farmers within the defined area to facilitate coordinated sea lice management.

3.79 In the event that lice numbers exceed the suggested treatment threshold, this should be communicated to other farmers within the defined area as soon as reasonably possible.

3.80 All companies within the SSPO should provide sea lice data and other information relevant to the management of sea lice to the SSPO at monthly intervals.

The build-up of pre-adults as indicated by weekly counts, the objective being to prevent the development of gravid females.

Suggested criteria for the treatment of sea lice on individual farm sites are:

- An average of 0.5 adult female *L. salmonis* per fish during the period 1\textsuperscript{st} February to 30\textsuperscript{th} June inclusive.
- An average of 1.0 adult female *L. salmonis* per fish during the period 1\textsuperscript{st} July to 31\textsuperscript{st} January inclusive.

3.81 Treatment for episodic *C. elongatus* infestations should be applied, as appropriate, to protect the welfare of farmed salmon.

3.82 Treatments should be carried out promptly to maximise the effectiveness of the available medicines, promote the minimal use of medicines consistent with the maintenance of high standards of fish welfare and help preserve efficacy.
3.83 Annual review meetings should be convened by FMA groups to evaluate the performance of the farms within the areas against the foregoing criteria.

3.84 Personnel responsible for sea lice management should have received appropriate training.

3.85 Personnel responsible for sea lice management should be able to demonstrate competence in lice identification, lice counting and reporting the results of counts.

3.86 Personnel responsible for sea lice management should have knowledge of lice population dynamics and the symptoms of lice infestation.

**Area Management**

*Farm Management Areas, Farm Management Statements and Farm Management Agreements*

> It is a legal requirement for farmers to be party to a Farm Management Statement or Agreement. The requirement set out in law is based upon the provisions of this CoGP.

3.87 Where one fish farming company operates a single site or all of the sites within a defined FMA, or where there is more than one company but no signed documented FMAg, key aspects of the company’s operations which may impact on the health of the farmed fish within the area should be documented in a FMS (see below for guidance).

3.88 Where more than one company operates within a defined FMA each company should provide the other with a copy of its dated and up-to-date FMS.
3.89 Any significant changes to the FMS should be notified to other companies in the FMA.

3.90 Where more than one aquaculture company operates within a defined FMA, it is recommended that companies cooperate in the development and implementation of a signed documented FMAg.

3.91 FMSs and FMAgs should take into account relevant aspects of the undernoted guidance:

i. Definition of the area to which the document relates including, where appropriate, local hydrodynamic conditions.

ii. General aspects of fish health
   a. Vaccines and vaccination regimes; Dead fish removal and disposal;
   b. Health status of the FMA inc. any official control(s) in place;
   c. Health status of fish to be stocked into the FMA;
   d. Physical condition of fish to be introduced;
   e. Veterinary input inc. VHPs and BPs;
   f. Fallowing plans and protocols.

iii. Sea lice control strategy:
   a. Treatment plans inc. synchronisation of treatments;
   b. Treatment medicines;
   c. Sensitivity testing;
   d. Data collection and exchange.

iv. Adherence to agreed stocking densities.

v. Movement of live fish.

vi. Harvesting protocols.

vii. Escapes.

viii. Exclusion and control of predators.

ix. Stock inspection and independent oversight of the operation of the FMAg.

x. Information exchange and communication between FMAg partners.

xi. Review of the agreement or statement at least every 2 years
3.92  **FMAs may be redefined following agreement by farmers who share the area:** decisions to redefine FMAs should demonstrate, on the basis of documented evidence and experience, that the risks to health within and outwith the area are not materially increased by the proposal.

*Site Fallowing*

3.93  All seawater pen sites (including broodstock sites) should adhere to a written fallowing plan.

3.94  Farmers should fallow sites on a single-year class basis in seawater pen production units.

3.95  The minimum fallow period should be 4 weeks at the end of each cycle.

3.96  Pens, nets, and other equipment should be cleaned and disinfected before the site is restocked with fish.

3.97  Disinfection should be conducted to a satisfactory level to inactivate pathogens considered to pose most risk (see Annex 4).

*Fallowing and new marine finfish species*

It is acknowledged that multi-year class farming may be required in the case of new marine finfish species.

3.98  In all cases, multi-year class farming should only be undertaken following a satisfactory outcome from a documented risk assessment.

3.99  If more than one year class of marine species is to be cultured on a farm, this should not occur for more than 6 years. Thereafter:

3.99.1  Farmers should adhere to the provisions of a written fallowing plan;
3.99.2 A minimum fallow period of 4 weeks should be applied at the end of each cycle;
3.99.3 Pens, nets, equipment, etc., should be cleaned and disinfected before the site is restocked with fish;
3.99.4 Disinfection should be conducted to a satisfactory level to inactivate pathogens posing significant risk (see Annex 4).

**Farm Management Area Fallowing**

3.100 Farms within a defined FMA should be fallowed synchronously on a single year class basis.

3.101 An exception to the foregoing requirement may be possible. Where this is the case, the undernoted conditions should be met:

- A documented risk assessment, which considers the risks to the company’s own operations and to the operations of other companies operating within the FMA and in any adjacent FMA, should be undertaken and management systems adopted that maintain risks at a satisfactorily low level;
- This risk assessment should include detailed information on strategies to be followed for pathogen and parasite control in the absence of fallowing.

**Diving**

3.102 Where divers are operating on different sites, documented disinfection procedures should be followed before and after diving work takes place on site, to include:

- 3.102.1 Removal of organic material from all suits and equipment;
- 3.102.2 Immersion of suits and equipment in water to which a suitable disinfectant has been added;
- 3.102.3 Thorough rinsing of all suits and equipment;
3.102.4 Disposal of all disinfectant solutions, rinse water and other effluent in accordance with manufacturer’s guidelines and relevant consents.

3.103 Before and after diving takes place, a named member of site personnel should check and record the fact that disinfection procedures have been followed.

**Harvesting Operations**

3.104 Production farms should not be sited within one tidal excursion of a permanent harvest station or processing plant having no approved effluent disinfection facilities.

3.105 The method used to crowd fish, remove them from pens and harvest them should be assessed for the risk of escapes and, where appropriate, contingency arrangements put in place to minimise the risk.

3.106 Nets should be examined before crowding the fish and at intervals during harvesting operations to ensure the absence of defects likely to give rise to escapes and any defects repaired.

3.107 Documented procedures to minimise the likelihood of damage from boats, rafts and equipment moored alongside pens should be established.

3.108 Bleeding of fish should take place on a facility where the blood water is contained.

3.109 Blood water and effluent should be contained and disinfected so as to minimise the risk of spread of pathogens.

3.110 Appropriate containment measures should be in place to prevent the leakage of blood water from harvest rafts, bins, etc.
3.111 Appropriate measures should be in place to prevent the loss of harvest bins and their lids at sea.

3.112 Harvest bins should be checked for damage, thoroughly cleaned and disinfected between operations.

3.113 Equipment used for on-site harvesting should be dedicated to individual sites or, if moved between sites, should be thoroughly cleaned and disinfected before being moved.

3.114 At the end of each period of harvesting, all equipment should be thoroughly cleaned and disinfected.

3.115 All activities involving movement of fish, cleaning and disinfection of bins and equipment should be recorded and the records retained for an appropriate time.

**Biological Vectors of Fish Disease**

*Fish*

> Wild fish species may act as vectors for, and carriers of, pathogens and may transmit these to farmed fish which come into contact with them. “Cleaner fish” is a generic term for species of fish that are used as biological controls to remove lice from farmed fish. Examples of cleaner fish include wrasse and lumpsucker.

3.116 Where available, hatchery-reared cleaner fish should be used.

3.117 A declaration should be held on record from companies that fish for wild cleaner fish, or fishermen catching cleaner fish on behalf of a company, that provisions for the following are made:
3.117.1 Use of appropriate baits (specifically not heads, frames and other by-products of finfish aquaculture, brown or velvet crabs);
3.117.2 Use of otter exclusion devices on all fyke nets deployed;
3.117.3 Maximum and minimum sizes for all fish retained;
3.117.4 Record keeping requirements (catch location, fish caught etc.).

3.118 The intentional introduction of cleaner fish into salmon pens for the purpose of sea lice control should only be done on the basis of a satisfactory outcome from a documented risk assessment.

3.119 Where cleaner fish are to be stocked into pens, the population should be health checked and shown to be free of pathogens likely to be of significance to salmon and the cleaner fish species.

3.120 Cleaner fish should not be released into the wild at the end of a production cycle, but should be humanely destroyed and disposed of, or may be reused in accordance with the provisions set out in this Code.

3.121 Each salmon pen that contains cleaner fish should have adequate provision of hides for the cleanerfish that are stocked.

3.122 Supplementary feed should be made available for the cleaner fish, as required by lice levels within each salmon pen.

Use/Reuse: The single use of cleaner fish is defined as their use alongside a single generation of salmon on an individual site, or with a single generation of salmon on more than one site within a Farm Management Area, providing their use within the FMA is followed by a co-ordinated area fallow. All other uses constitute reuse.

3.123 Cleaner fish should be reused no more than once.
3.124 Cleaner fish should only be reused if they have been health checked and shown to be free of pathogens likely to be of significance to salmon and the cleaner fish species.

3.125 Cleaner fish should only be reused following the successful outcome from a documented risk assessment that is agreed by all companies operating in the FMA where reuse will take place.

3.126 The risk assessment for reuse of cleaner fish should take into account relevant aspects of the following.

- The presence of cleaner fish on farms within the receiving FMA
- The quarantine period between first and second use
- The health status of the cleaner fish being reused
- The health status of the salmon on both the first and second use sites
- Any movements of salmon on sites during the first and second use
- The size of the cleaner fish being reused

3.127 Each farm using cleaner fish should have appropriately trained staff, taking into consideration husbandry, health and welfare.

*Birds and Mammals*

3.128 Transmission of infectious agents by birds and mammals should be minimised through:

3.128.1 measures designed to exclude birds and mammals from areas where farmed fish are held;
3.128.2 hygienic procedures for handling dead fish;
3.128.3 feeding practices that minimise wastage;
3.128.4 where there is a risk of contact with seals - which are known to prey on farmed fish - this should be minimised through the use of appropriate deterrent measures and other approaches.
**Use of Medicinal Products**

3.129 Farmers should prepare and implement a VHP and a BP, which set out biosecurity protocols, preventative measures and treatments (including the use of vaccines, measures for the control of sea lice, etc.) (Annex 2).

3.130 Where therapeutic treatment is required, this should be initiated at the earliest opportunity.

3.131 Where therapeutic treatment is required, accurate information on the health status of fish, biomass, temperature, etc., should be provided to the attending veterinary surgeon to allow the calculation of correct dose rates, withdrawal periods, etc.

3.132 The prescribing veterinary surgeon should always be made aware of any other treatments recently or currently being administered and other circumstances likely to affect treatments.

3.133 When medication is to be applied, clear written instructions on the use of the medicine should be obtained and effectively communicated to the personnel responsible for its application.

3.134 The recommended course of treatment at the correct dosage should be completed.

3.135 In circumstances where this is not possible, treatment may be curtailed. Where treatment is terminated early, this should be recorded and further advice sought from the veterinary surgeon.

3.136 To avoid the possibility of contamination of unmedicated feed with medication, feed bins or hoppers should be emptied and cleaned at the end of a period of medication.
3.137 The veterinary surgeon or other person supplying the product should also advise on the correct withdrawal period.

Suspected Adverse Reactions (SARs)

A Suspected Adverse Reaction (SAR) is a harmful and unintended reaction which may be due to exposure to a veterinary medicine administered to an animal at its normal dose. A human SAR may also occur, in this case in a person administering a veterinary medicine (e.g. through self-injection with a vaccine) or a person exposed to a recently treated animal.

Companies holding a Marketing Authorisation (MA) for veterinary medicines, including fish medicines, are legally obliged to report suspected adverse reactions within 15 days of receiving a report and at specified periods in the case of non-serious adverse reactions. Further information is available from the Veterinary Medicines Directorate.

3.138 Farmers should record any SAR to a medicine, either in fish being treated or in persons involved in the application of a treatment.

3.139 SAR reports should be directed via the veterinary surgeon, the supplier, or submitted direct to the Veterinary Medicines Directorate (VMD).

Vaccination

As in most other livestock, vaccines can be used in farmed fish to prevent diseases and reduce the need for medicinal treatments. Vaccination of Scottish salmon is now normal practice and has had a demonstrable effect in maintaining fish health while minimising the use of antibiotics. Good practice in relation to vaccination is described in ‘Responsible use of vaccines and vaccination in fish production’ produced by The Responsible Use of Medicines in Agriculture Alliance (RUMA) in 2006.
3.140 Where appropriate, VHPs and BPs should include a vaccination regime to protect fish from diseases which may present a risk to their health.

3.141 Farmers should develop documented SOPs to be followed when vaccinating populations of fish.

3.142 SOPs should draw on information supplied by the prescriber and vaccine manufacturer, along with any guidance prepared by third parties.

3.143 If a vaccination contractor is engaged, SOPs should be mutually agreed and should specify responsibilities of all parties involved.

3.144 All of those directly involved in handling fish on the day of vaccination, in anaesthesia of fish and in the delivery of vaccines into fish should be appropriately trained and competent.

3.145 It is recommended that training is carried out to Lantra-approved or similar standard.

3.146 Feeding should be withdrawn from fish to be vaccinated for an appropriate period, in accordance with Data Sheet recommendations and fish welfare guidance.

Vaccines must be used and stored in accordance with the manufacturer’s data sheet and/or the advice of the person prescribing the vaccine. Vaccines for use in Scottish salmon are categorised as either POM-V or POM-VPS; however only a veterinarian may prescribe any vaccine for use outwith the conditions of its Marketing Authorisation, which may include use in fish of a specific size or within a temperature range.

3.147 In order to ensure that the effectiveness is maximised, significant size variation in the fish to be vaccinated should be kept to a minimum.

3.148 Fish should therefore be appropriately graded in preparation for vaccination.
The temperature of the water in which vaccinated fish are held pre- and post- vaccination will often have an important bearing on the effectiveness of the vaccine and the protection it confers.

3.149 The temperature of the water in which fish are held should be recorded in the week leading up to vaccination, and for a period (as indicated by product data sheets) afterwards.

3.150 Vaccination equipment should be maintained and used in a hygienic manner.

3.151 In the immediate lead up to vaccination operations, all machinery, equipment, tables, vaccination kit, PPE and other materials to be used should be checked to ensure that all that is required is available and fit for purpose.

3.152 Provisions should be made to ensure that automated vaccination equipment is manned at all times whilst in operation.

3.153 An appropriate regime for monitoring fish welfare during automated vaccination should be included in the relevant SOP.

Where vaccination equipment is brought on site from elsewhere:

3.154 The supplier should provide proof that the equipment has been appropriately disinfected;

3.155 The equipment should be disinfected before and after use;

3.156 Disinfection records should be maintained.
3.157 To verify that vaccines have been applied in an optimal manner, that they have assisted in achieving the required protection against the relevant pathogen(s), and to demonstrate that the health and welfare of the vaccinated fish remains good, fish should be examined at appropriate intervals during the procedure and after vaccination.

3.158 Any suspicion of adverse effect should be immediately reported to the person who prescribed the vaccine.

3.159 Booster vaccinations, if required, should be administered in accordance with manufacturers’ directions and veterinary advice.

Records must be kept of all vaccination procedures conducted on site and must include the following information:

- date of vaccination;
- identification of the batch(es) of fish vaccinated;
- vaccine used (including batch numbers and method of application);
- details of dosage.

In addition to the legal requirements above:

3.160 The method of vaccination (e.g. manual, machine-assisted, fully-automated) should be recorded;

3.161 The names of the personnel involved should be recorded.

4 MANAGING AND PROTECTING THE ENVIRONMENT

Fuel Oil Handling and Storage

4.1 Contractors and third parties working on the site should have a contract which specifies the requirement to work within the conditions of this Code.
Redundant Equipment and Waste Materials

4.2 All waste materials such as feed bags, lengths of redundant rope, etc. should be carefully collected from pen installations and brought back to shore where they should be properly segregated, stored, recycled or disposed of by an approved means within a defined timescale.

4.3 It is recommended that GPS coordinates be taken of anchor positions at deployment to aid retrieval or alert other marine users to their location if retrieval is impossible.

Noise

4.4 Farmers should ensure that equipment that creates significant noise (air blowers, generators, etc.) is suitably muffled in order to prevent unacceptable disturbance to wildlife or humans. Advice on suitable measures may be obtained from the Environmental Health Department of the Local Authority.

Lights

4.5 The use of surface and submerged lights on pen sites should seek to minimise any local issues

4.6 All lighting should be installed and/or shielded in such a way as to direct the light to where it is required.

Odours

4.7 Farmers should ensure that offensive odours that might arise from farming activities are strictly managed and minimised. The Environmental Health Department of the Local Authority may be consulted for advice.
**Containment**

*Design & Construction of Pen Systems*

4.8 Farmers should maintain documented procedures to be followed in the selection and installation of pens.

4.9 Farmers should hold on record the design specifications of pens, along with evidence that they are suitable for the purpose and are correctly installed.

4.10 Pen systems deployed at all finfish aquaculture sites should meet the manufacturers’ guidelines.

4.11 Pen systems should be inspected and approved by suitably qualified and experienced person(s).

4.12 Farmers should maintain evidence of the competence of personnel involved in the design, installation and maintenance of pen systems.

*Mooring Systems*

4.13 Farmers should have documented procedures to be followed in the selection and installation of moorings.

4.14 Farmers should hold on record the design specifications of mooring systems, along with evidence that they are suitable for the purpose and are correctly installed.

4.15 Farmers should maintain evidence of the competence of staff involved in the design, installation and maintenance of mooring systems.

4.16 Pen and mooring components should be inspected in accordance with a documented standard operating procedure and a documented inspection plan which is based on risk assessment.
Design & Construction of Pen Nets

4.17 Minimum net strength should meet or exceed industry standards (see Annex 7).

4.18 The net mesh size should be such that it is capable of containing all fish when new stock is introduced to seawater pen sites.

4.19 Site managers should demonstrate an awareness of the minimum fish size supplied at smolt input and at other relevant times.

4.20 The design, quality and standard of manufacture of nets should take account of the conditions likely to be experienced on the site and include an adequate safety margin.

4.21 Nets should be treated with UV inhibitor and stored away from direct sunlight when not in use, to minimise deterioration in strength.

Inspection and Testing of Nets and Net Attachments

4.22 Nets should be tested at a predetermined frequency and in accordance with a test procedure which is based on manufacturer's advice.

4.23 Nets should be inspected as frequently as possible for damage and inspection records maintained.

4.24 Systems used to attach nets to pens (including net weighting where this is used) should be inspected as frequently as possible.

4.25 Where damage to nets and any associated fittings has occurred (or the potential for damage to occur is apparent), the appropriate remedial action should be taken.
Anti-Predator Nets

4.26 Risk assessment should be undertaken on a site-specific basis and at predetermined frequency to ascertain the risks of predator attacks, with records being maintained.

4.27 The advice given in Annex 7 should be followed when predator nets are deployed.

Boat Operations

\[
\text{The operation of fish farm support vessels may present a risk to the integrity of pens and nets. In this regard, it is essential that boat operations are risk assessed, planned and carried out by trained, competent operators.}
\]

4.28 Fish farm workboat operations should be planned and conducted in a manner which avoids damage to nets and pens.

Fish Handling and Transfer

4.29 Procedures which could increase the risk of fish escaping from pens should be carefully planned and supervised to minimise any risk.

4.30 A documented risk assessment, a standard operating procedure and a contingency plan should be in place before any such procedure is followed.

4.31 Before a procedure involving the stocking of pens commences, the pen net(s) should be examined to ensure the absence of tears or damage and again at intervals during the procedure.

4.32 Where fish are being transferred into pens by helicopter, the receiving pen(s) should be properly prepared with the nets secured, and the pens marked with buoys clearly visible from the air.
4.33 The fish farm staff responsible for receiving the fish should maintain radio contact with the helicopter crew. Where this is not possible, the pen group being stocked should be manned to ensure correct delivery of the fish.

Failure of Containment

1

Guidance on what to do in the event of an escape is available at the Marine Scotland website

4.34 Farmers should have site-specific contingency plans that describe actions to be taken in the event of any escapes.

4.35 All farm staff should be aware of factors affecting the potential breaches of containment and trained in actions to take in the event of an escape.

4.36 Weather permitting, and having regard to health and safety conditions, daily visual inspection of the holding units should be carried out to ensure containment of the stock.

4.37 Any escape, or suspected escape, of live fish should be reported immediately to all relevant stakeholders, including the trade body, local District Salmon Fisheries Board and Fisheries Trust (or at the latest, within 48 hours of discovery).

4.38 A decision to attempt to recapture fish and the method to be employed should be agreed with the local District Salmon Fisheries Board and Fisheries Trust and permission sought from Marine Scotland.
5 FISH WELFARE AND CARE

Veterinary Health Plan and Biosecurity Plan

5.1 In order to ensure that companies operate to the highest possible standards of welfare, all farmers should develop a documented VHP and a BP that are updated regularly.

5.2 Each farm should have access to a veterinary surgeon experienced in fish health to advise on fish health matters and medicine usage, and who is available to attend at short notice.

Fish Farm Locations

5.3 The siting of farms should be such that there is an adequate supply of water of suitable quality at all times.

5.4 Where appropriate, there should be emergency back-up systems to maintain a high standard of water quality.

5.5 Sites should be located and operated in such a way as to minimise the possibility of adverse environmental conditions having an undesired effect on the fish.

5.6 Farmers should have written contingency plans covering actions to be taken in the event of a serious incident, such as storm damage or water quality problems. These plans should consider both the welfare of the fish and environmental protection.

Design and Planning of Farms, Equipment and Operating Systems

5.7 The siting of pens should be considered with a view to optimising fish welfare conditions.
5.8 Equipment and farm design should protect the fish from predators.

5.9 The design of shore bases should facilitate the control of predators and vermin.

5.10 Equipment should be designed in such a way as to avoid creating welfare problems for the fish and be capable of being cleaned and disinfected.

5.11 Farm design should be such that daily inspection of all stock is possible.

5.12 Farm design should allow the regular removal of moribund or dead fish as specified in the VHP.

5.13 Farmers should be aware of, and consider the use of, new technology that improves the welfare of fish.

5.14 Farmers should have access to reliable and relevant information on fish welfare.

5.15 Contingency plans should be in place in case of failure of equipment which is critical to the welfare of the fish.

5.16 Pens should be sited so as to allow an adequate flow of clean water to the fish, but without exposing them to extreme or harmful conditions that may damage them or the nets, cage collars and other equipment.

5.17 The flow of water to which fish are exposed should not be so strong as to prevent them holding station.

5.18 The size and proportions of pens should be sufficient to allow the fish to behave in a manner that is conducive to high standards of welfare.

5.19 Netting used in the construction of enclosures should present a smooth, non-abrasive surface to the fish.
5.20 Biofouling should not be permitted to build up on pen nets to a level that impairs water flow through the mesh.

5.21 Nets should be checked often for damage, holes or excessive biofouling, and remedial action should be taken immediately to rectify any problems.

5.22 Nets should be adequately tensioned to minimise distortion.

5.23 Nets should be of a mesh size, quality and strength suitable for their purpose.

5.24 Net depth should be sufficient to ensure that the net base does not come into contact with the loch bed.

*Light*

5.25 Levels of light to which fish are exposed should be appropriate to the species and stage of development.

5.26 Lighting which is optimal for fish welfare should be determined on a site by site basis using practical experience, research and specialist advice.

5.27 Fish species which are sensitive to high ambient light levels or ultraviolet light should be kept suitably shaded or otherwise protected.

5.28 Sudden changes in light levels should be avoided wherever possible.

*Inspection and Testing*

5.29 Weather permitting, and having regard to health and safety conditions, all equipment should be visually inspected daily.

5.30 Any defect should be immediately recorded and reported to supervisors.
5.31 All defects should be immediately rectified and, where this is not possible, alternative measures put in place to safeguard fish welfare.

**Predator Control**

5.32 Farmers should have standard operating procedures to prevent and manage predation problems.

5.33 Farmers should document sightings of relevant predators in the vicinity of farms.

**Birds**

> Birds may only be killed or taken if a licence has been issued by Scottish Government and the conditions specified in the licence must be followed. The conditions specified in the licence are as follows:

- The specified method for killing birds must be followed;
- The specified maximum number of birds to be killed must not be exceeded;
- Documented records of birds killed must be kept;
- When it is permissible by law to shoot a bird, it may only be done by a proficient named person, using an appropriate firearm and ammunition;

5.34 Birds should be excluded by deploying permitted measures such as nets, strings, scarecrows and other systems designed for the purpose.

**Seals**

> The management and control of seals in relation to fish farming is covered under statute. Notwithstanding, it is good practice for salmon farmers to use appropriate and site specific methods to deter seals observed in the vicinity of their fish by using non-lethal measures.
5.35 The use of tensioned or false-bottomed nets is recommended.

5.36 The use of seal blinds to cover the dead fish basket is recommended.

5.37 The daily removal of any dead fish from the dead-fish basket is recommended.

5.38 Acoustic deterrent devices (ADD) should be used where and as permitted.

5.39 The use of predator nets, such as box or cone nets, may be used where other measures have failed. However, there may be a risk of entrapment of non-target species, such as birds, fish and other animals.

5.40 Where predator nets are deployed, they should be regularly cleaned and maintained to ensure they do not restrict water flow to the stock, but still provide an effective barrier to access by seals.

5.41 It is recommended that curtain nets are not used.

Otters

5.42 Equipment should be deployed in such a manner as to reduce the likelihood of access by otters.

Mink

5.43 Equipment should be deployed in such a manner as to reduce the likelihood of access by mink.

5.44 Well maintained and secure cage nets and top nets with a top net mesh of less than 3 inches should be employed in an effort to deter mink.
**Record-keeping**

5.45 Records of losses to predators and use of predator control systems should be maintained.

**Stockmanship and Husbandry**

5.46 Farmers should be able to recognise indicators of reduced welfare in fish, including abnormal behaviour, physical injury and symptoms of disease, and take remedial action in the event that such indicators are apparent.

**Handling Live or Conscious Fish**

5.47 Live fish should only be removed from water and handled when absolutely necessary.

5.48 If fish are handled, adequate support should be given to the body - live fish should never be held by the gills or tail only.

5.49 Different species have different tolerance to being out of water, but the time out of water should never be so long as to produce signs of distress.

5.50 Even for tolerant species, e.g. flatfish, the time out of water should be as short as possible when the air temperature is particularly high or low.

5.51 In all cases, fish should be kept wet, except where blotting dry is essential to avoid contamination of gametes during stripping.

5.52 Where pumps, pipes and grading equipment are used, these should be properly designed and correctly set up so that they do not injure or unnecessarily stress fish.
Hand Nets

5.53 When hand nets are used, they should be of suitable proportions – physical size and mesh size.

5.54 Hand nets should be designed and constructed to minimise physical damage to fish.

5.55 Hand nets should be kept clean, disinfected and in good repair.

Crowding

5.56 A written procedure for crowding of fish should be prepared.

5.57 Personnel should be trained in the appropriate techniques.

5.58 The frequency and duration of crowding should be kept to the minimum.

5.59 Nets should be checked for tears and damage prior to crowding and any damage detected should be repaired before fish are crowded.

5.60 Farmers should monitor fish behaviour during crowding and take action if fish show signs of stress or damage.

5.61 Farmers should remove and cull any moribund or damaged fish.

5.62 Farmers should ensure that enclosure nets are kept clean in order to avoid water quality problems during crowding.

5.63 Farmers should monitor oxygen levels during crowding and take corrective action if levels fall below a critical point for that species (the critical point will vary between species and with environmental factors).
Removal and Disposal of Dead and Moribund Fish

Disposal of dead fish is the subject of EU, UK and Scottish legislation.

5.64 Fish should be inspected daily and dead or moribund fish should be removed, minimising handling to avoid stress to the live fish within the enclosure.

5.65 Where problems are identified during an inspection, prompt remedial action should be taken in accordance with the VHP and BP to determine the cause and deal with the problem, including where appropriate consultation with a veterinary surgeon or fish health specialist.

5.66 Records should be kept of each inspection, which include the number of dead fish removed and the likely cause of death, as determined by a competent person.

Marking

5.67 Marking methods that cause distress or injury to farmed fish should not be used.

Withholding Feed

5.68 Before transport or harvest, feed should be withheld to reduce metabolic rate and the excretion of waste products, and to eliminate the presence of food and/or faecal material in the gut at harvest, thus minimising the risk of microbiological contamination during processing.

5.69 The period during which fish are deprived of food to achieve gut clearance prior to certain procedures or harvesting should be appropriate to the species and temperature.
5.70 Complete withdrawal of food should not be used as a means of conditioning fish prior to harvest.

5.71 Feed withdrawal may form part of the response to the onset of adverse environmental conditions and in the treatment of certain diseases (e.g. pancreas disease of Atlantic salmon). Veterinary advice should be sought on this with, as appropriate, feed withdrawal protocols being included in the VHP and BP.

**Stocking Density**

> As a general rule, stocking density may be adjusted in line with the biological and behavioural needs of fish having regard to the prevailing environmental conditions and, in particular, water quality. The farming system in which the fish are held and the ability to maintain high standards of water quality are important defining factors in determining optimum stocking density.

For marine salmon farms welfare indicators, such as condition factor and fin condition, may also be taken into account in determining the appropriate stocking density. Research on stocking density for other species is less well developed.

5.72 Stocking density should be monitored in relation to fish health, fish behaviour and water quality to ensure that fish welfare is not compromised.

5.73 Immediate attention should be given to any problems that arise.

**Grading**

5.74 The avoidance of injury and stress to fish should be a primary consideration when deciding on the method of grading to be employed.

5.75 Grading equipment should be designed and maintained so as not to damage the fish.
5.76 Details of planned frequency and procedures for grading should be part of the VHP and BP.

**Transport of Live Fish**

5.77 Crowding of fish before collection for transport should be kept to a minimum.

5.78 Fish should be transported in such a way that possible adverse effects on their welfare are minimised.

5.79 Biosecurity and fish welfare should be considered before transporting fish populations.

5.80 For transport, oxygen monitoring should be carried out with sufficiently frequent monitoring intervals to ensure that oxygen levels remain within safe limits at all times.

5.81 Control systems for oxygenation of water should be such that adjustments may be made timeously.

5.82 Supplementary oxygen or air supply should be sufficient to last longer than the anticipated length of the journey, including helicopter transport.

5.83 Excessive or rapid changes in water temperature or pH in transport tanks should be avoided.

5.84 Any fish that die during transportation should be separated from live fish as soon as possible after arrival and the cause of death determined by a competent person.

5.85 Transport water should not be discharged *en route* directly into natural water courses.
Harvesting and Culling

5.86 Fish should be fasted for the minimum period necessary to clear the gut of feed and faeces.

5.87 Crowding fish prior to harvesting should be for the minimum time possible, especially where more than one crowding session is necessary to complete the harvest.

5.88 Killing efficiency should be monitored by a proficient person to ensure fish do not regain consciousness prior to death.

5.89 Arrangements for emergency culling should be addressed in the VHP and BP.

6 FEED AND FEEDING

Feed Formulation

6.1 Farmers should ensure, through labelling information or documentary assurance, that they use feeds that have been formulated for the species and life stage of fish being grown.

Feed Delivery

6.2 To minimise the risk of horizontal transmission of disease, feed deliveries by boat should be undertaken in accordance with Annex 4.
6.3 Deliveries should be made to a single FMA, to sites of the same health status or to those of the highest health status first.

**Use of Feed**

6.4 Farmers should have a written feed management plan, which includes the following points:

6.4.1 Feeding the correct feed size;
6.4.2 Feeding the correct amount of feed to any population of fish, in the proper manner and over the correct period(s) of the day;
6.4.3 Regular monitoring of feed conversion efficiency (following sample weighing), and assessment of whether feeding protocols and guidelines to assist farm personnel are effective.

6.5 The use of ‘feedback loop’ feeding systems should be considered, since these improve conversion efficiency, reduce environmental impact, and generally ensure that finfish feed is used as efficiently as possible.

6.6 Untreated raw fish should not be used as a feeding stuff for fish in seawater lochs.

6.7 Where whole fish or parts of fish form part of the diet, they should be pasteurised, irradiated or otherwise processed to ensure that they are microbiologically safe.

*** END ***